

JC  
JCWSCS 29 JUL 2004

JUL/28/2004/WED 04:17 PM

DILLON & YUDELL, LLP

FAX No. 5123436446

P. 001/009

DILLON & YUDELL LLP

ATTORNEYS AT LAW

---

USPTO FACSIMILE TRANSMITTAL SHEET

---

TO:	Commissioner for Patents	FROM:	Andrew J. Dillon, Reg. No. 29,634
COMPANY:	US Patent and Trademark Office	DATE:	JULY 28, 2004
ART UNIT:	2136	TOTAL NO. OF PAGES INCLUDING COVER:	10
FAX NUMBER:	(703) 746-9195	APPLICATION SERIAL NO:	10/725,261
		ATTORNEY DOCKET NO:	DE920020047US1

---

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

---

NOTES/COMMENTS:

Please see attached herewith:

- ☒ Request for Corrected Filing Receipt (2 pages);
- ☒ Copy of Filing Receipt with requested changes (2 pages);
- ☒ Copy of Declaration (3 pages);
- ☒ Change of Correspondence Address (1 page).

*This fax from the law firm of Dillon & Yudell LLP contains information that is confidential or privileged, or both. This information is intended only for the use of the individual or entity named on this fax cover letter. Any disclosure, copying, distribution or use of this information by any person other than the intended recipient is prohibited. If you have received this fax in error, please notify us by telephone immediately at 512.343.6116 so that we can arrange for the retrieval of the transmitted documents at no cost to you.*

---

8911 N. CAPITAL OF TEXAS HWY., SUITE 2110, AUSTIN, TEXAS 78759  
512.343.6116 (V) • 512.343.6446 (F) • DILLONYUDELL.COM

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application Of:

GERNOT BRASEN ET AL.

Serial No.: 10/725,261

Filed: 12/01/2003

For: **HIGH ACCURACY LASER FINE  
AUTOFOCUS SYSTEM**

**ATTY. DOCKET NO.: DE920020047US1**

~~~~~

**Examiner: NOT YET ASSIGNED**

Art Unit: 2877

**REQUEST FOR CORRECTED FILING RECEIPT**

Office of Initial Patent Examination  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby requests that a Corrected Filing Receipt be issued for the above-identified patent application. Please amend the Filing Receipt as follows:

It is hereby requested that the one of the applicant's name on the Filing Receipt be corrected. The Filing Receipt incorrectly shows "Theuer Heiko" as the recorded name. Please change "Theuer Heiko" to "Heiko Theuer".

A photocopy of the Filing Receipt with the requested change noted thereon is enclosed for reference, along with a copy of the signed Declaration.

**CERTIFICATE OF FACSIMILE TRANSMISSION**  
**37 CFR 1.8(A)**

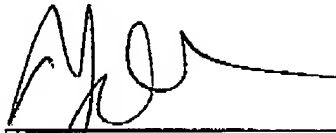
I hereby certify that this correspondence is being Facsimile transmitted to the U. S. Patent and Trademark Office, Attention: Office of Initial Patent Examination - Filing Receipt Corrections at 703-746-9195.

Pia R. Lorenzana  
Name of Person Sending Fax

Signature \_\_\_\_\_

No fee is believed to be required at this time; however, in the event any fees are required, please charge **IBM Deposit Account No. 09-0449**.

Respectfully submitted,



---

Andrew J. Dillon  
*Registration No.: 29,634*  
DILLON & YUDELL LLP  
8911 N. Capital of Texas Highway  
Suite 2110  
Austin, Texas 78759  
512-343-6116

ATTORNEY FOR APPLICANT(S)

3/2



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/725,261 | 12/01/2003                | 2877     | 770           | DE920020047US1  | 3        | 9        | 2        |

28722  
BRACEWELL & PATTERSON, L.L.P.  
P.O. BOX 969  
AUSTIN, TX 78767-0969

CONFIRMATION NO. 4052

## FILING RECEIPT

\*OC000000011988008\*

Date Mailed: 02/27/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gernot Brasen, Mainz, GERMANY;  
Matthias Loeffler, Eisenberg, GERMANY;  
~~Theuer Heiko~~, Mainz, GERMANY;

**HEIKO THEUER**

## Assignment For Published Patent Application

International Business Machines Corporation;

## Domestic Priority data as claimed by applicant

## Foreign Applications

GERMANY 02102860.0 12/20/2002

If Required, Foreign Filing License Granted: 02/26/2004

Projected Publication Date: 06/24/2004

Non-Publication Request: No

Early Publication Request: No

## Title

High accuracy laser fine autofocus system

**Preliminary Class**

356

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).